

## Exemples of DFT calculations — and limitations

Ari Paavo SEITSONEN

Ari.P.Seitsonen@iki.fi

Département de Chimie  
École Normale Supérieure, Paris

École de Sidi-BelAbbès de Nanomateriaux // Octobre 8-12, 2016



## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties
- 5 Technical issues
- 6 DFT: Limitations

## Surface science

Happy Birthday,  
Herr Prof Dr Dr *h c* mult Ertl

Gerhard Ertl



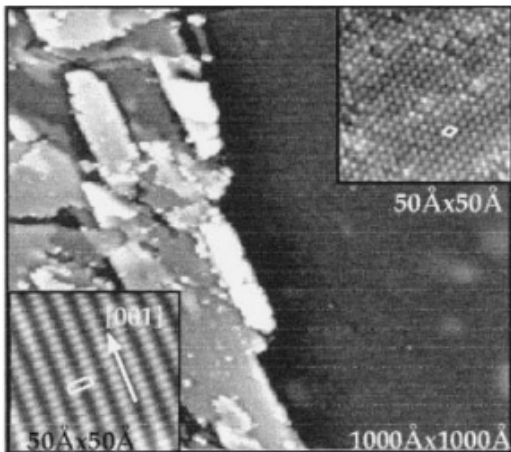
## Oxidation of CO on transition metal surfaces

- Ru very bad catalyst at low  $O_2$  pressure, excellent at high  $p(O_2)$

# Atomic-Scale Structure and Catalytic Reactivity of the RuO<sub>2</sub>(110) Surface

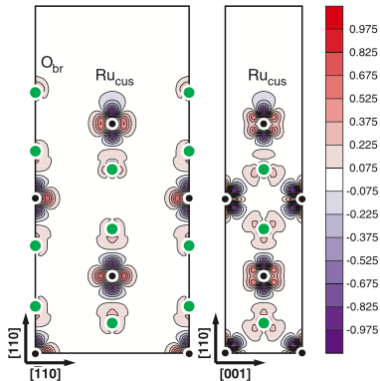
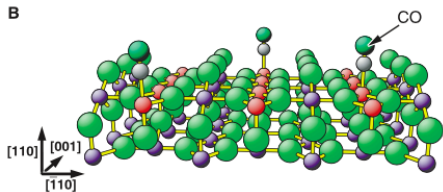
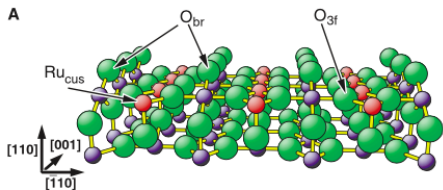
H. Over,<sup>1\*</sup> Y. D. Kim,<sup>1</sup> A. P. Seitsonen,<sup>1,2</sup> S. Wendt,<sup>1</sup>  
E. Lundgren,<sup>3</sup> M. Schmid,<sup>3</sup> P. Varga,<sup>3</sup> A. Morgante,<sup>4</sup> G. Ertl<sup>1</sup>

25 FEBRUARY 2000 VOL 287 SCIENCE [www.sciencemag.org](http://www.sciencemag.org)



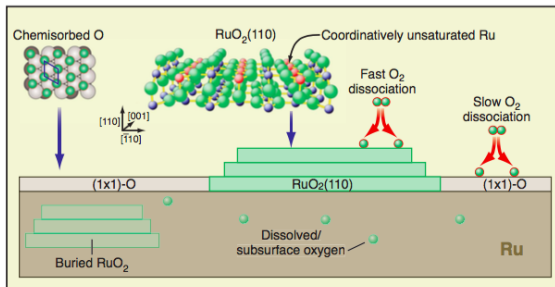
(616 citations)

## Ru(0001) under O<sub>2</sub> pressure



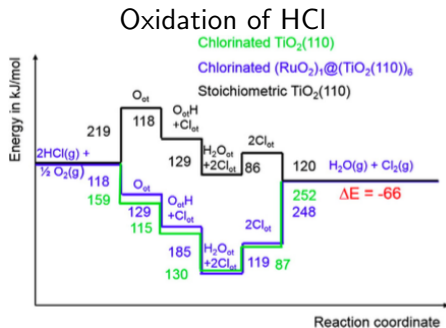
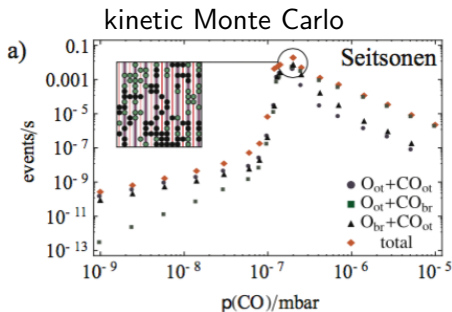
## Oxidation of Metal Surfaces

H. Over and A. P. Seitsonen



**The rich oxygen chemistry of ruthenium (0001).** The oxidation of Ru(0001) is one of the best studied systems in the literature (70–72). Chemisorbed oxygen, surface oxide, buried oxides, and subsurface oxygen may coexist in the near-surface region. This complexity is characteristic of the oxygen chemistry of many transition metal surfaces.

## Further applications of DFT energetics





Happy Birthday, Herr Prof Dr Dr *h c mult* Ertl

... in 2007:



10 October 2007



Scientific Background on the Nobel Prize in Chemistry 2007

Chemical Processes on Solid Surfaces



## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties
- 5 Technical issues
- 6 DFT: Limitations

## Graphene

- Some unique properties, huge (technological) interest
- No band gap — would be needed in some applications
- Opening of gap via confinement

# Graphene nano-ribbon

PRL 101, 096402 (2008)

PHYSICAL REVIEW LETTERS

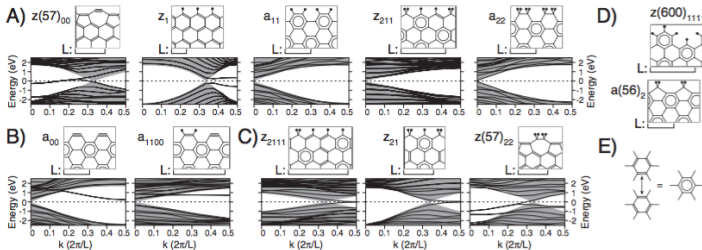
week ending  
29 AUGUST 2008

## Structure, Stability, Edge States, and Aromaticity of Graphene Ribbons

Tobias Wassmann, Ari P. Seitsonen, A. Marco Saitta, Michele Lazzeri, and Francesco Mauri

*IMPMC, Université Paris 6 et 7, CNRS, IPGP, 140 rue de Lourmel, 75015 Paris, France*

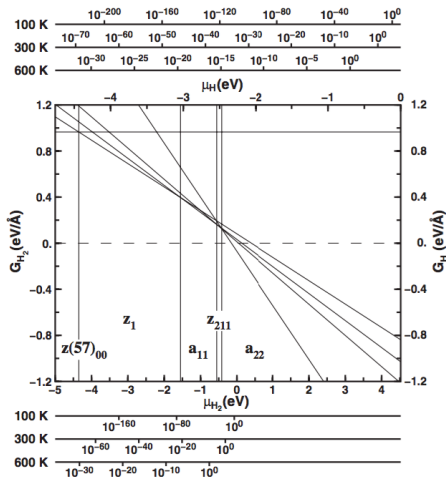
(Received 23 May 2008; published 27 August 2008)



## GNR: Energetics

$$\mathcal{E}_{\text{H}_2} = \frac{1}{2L} \left( E^{\text{ribb}} - N_C E^{\text{blk}} - \frac{N_H}{2} E_{\text{H}_2} \right),$$

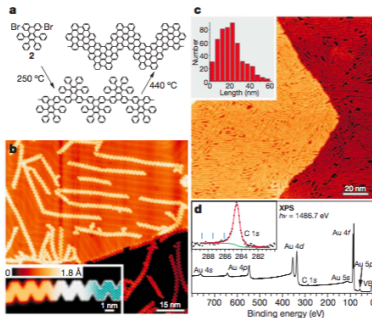
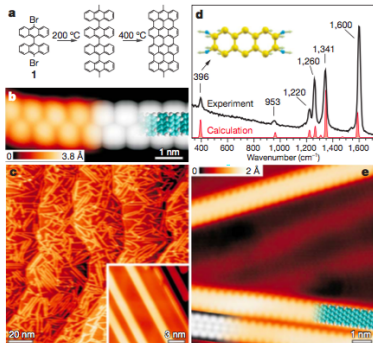
$$\mu_{\text{H}_2} = H^\circ(T) - H^\circ(0) - TS^\circ(T) + k_B T \ln \left( \frac{P}{P^\circ} \right),$$

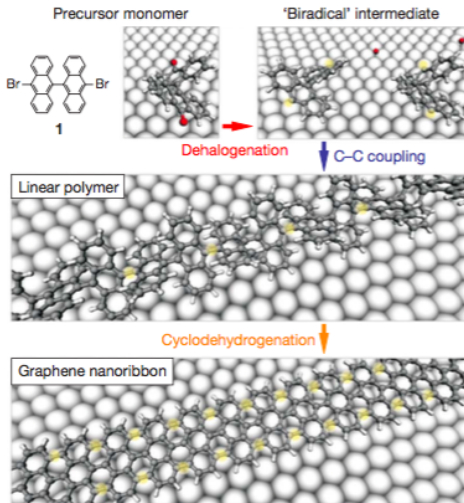


LETTERS

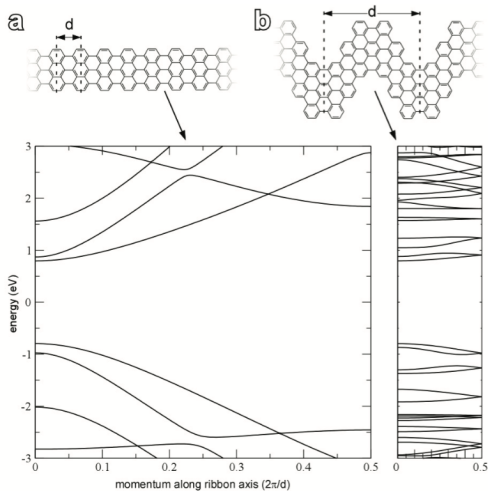
**Atomically precise bottom-up fabrication of graphene nanoribbons**

Jinming Cai<sup>1\*</sup>, Pascal Ruffieux<sup>1\*</sup>, Rached Jaafar<sup>1</sup>, Marco Bieri<sup>1</sup>, Thomas Braun<sup>1</sup>, Stephan Blankenburg<sup>1</sup>, Matthias Muoth<sup>2</sup>, Ari P. Seitsonen<sup>3,4</sup>, Moussa Saleh<sup>2</sup>, Xinliang Feng<sup>2</sup>, Klaus Müllen<sup>5</sup> & Roman Fasel<sup>1,6</sup>





## GNR: Band structure





## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties
- 5 Technical issues
- 6 DFT: Limitations

## Molecular dynamics

Real-time dynamics of ions according to Newton's equation of motion:

$$\mathbf{F}_I = M_I \mathbf{a}_I$$

- Propagation of ionic positions
  - ▶ Verlet algorithm, velocity Verlet algorithm
  - ▶  $\mathbf{R}_I, \mathbf{v}_I, \mathbf{F}_I$
  - ▶ Needed: Initial values, forces
  - ▶ DFT: Evaluation of forces  $\mathbf{F}_I$
  - ▶ Time step:  $\delta t \approx 0.5$  fs
  - ▶ Simulation time:  $t_{\text{simulation}} = N_{\text{step}} \times \delta t$
- $t_{\text{simulation}} \approx 100$  ps – 1 ns

## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties**
- 5 Technical issues
- 6 DFT: Limitations

# Parametrisation of force fields based on DFTb-MD



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

ScienceDirect

Geochimica et Cosmochimica Acta 141 (2014) 547–566

Geochimica et  
Cosmochimica  
Acta

[www.elsevier.com/locate/gca](http://www.elsevier.com/locate/gca)

Structure, equation of state and transport properties of molten calcium carbonate ( $\text{CaCO}_3$ ) by atomistic simulations

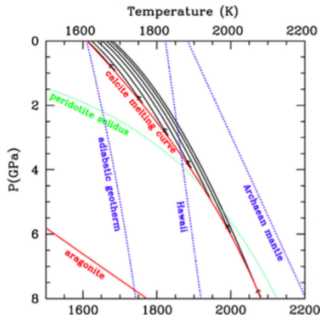
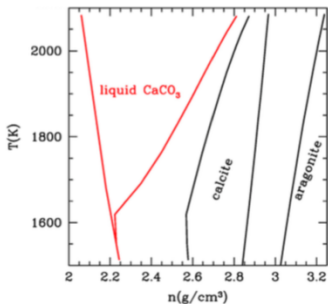
Rodolphe Vuilleumier<sup>a</sup>, Ari Seitsonen<sup>b</sup>, Nicolas Sator<sup>c,d</sup>, Bertrand Guillot<sup>c,d,\*</sup>

<sup>a</sup>Laboratoire PASTEUR, UMR 8540 ENS-CNRS-UPMC Paris 6, Département de Chimie, Ecole Normale Supérieure, 75005 Paris, France

<sup>b</sup>Physikalisches-Chemisches Institut, Universität Zürich, CH-8057 Zürich, Switzerland

<sup>c</sup>Sorbonne Université, UPMC Univ Paris 06, UMR 7600, LPTMC, F 75005 Paris, France

<sup>d</sup>CNRS, UMR 7600, LPTMC, F 75005 Paris, France



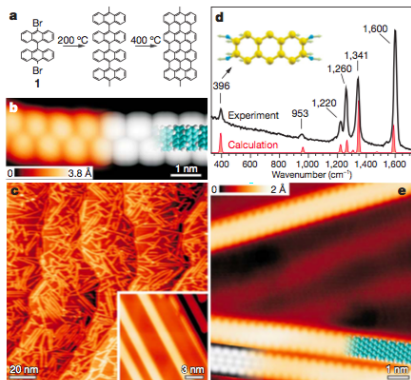
## Calculation of infra-red, Raman vibrational spectra

Sunday, Prof Krim: Infra-red  $\propto D$  (dipole), Raman  $\propto \alpha$  (polarisability)

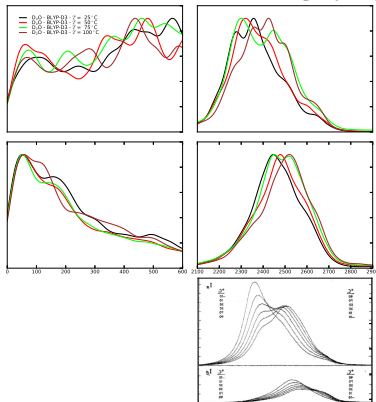
- Static calculation:  $D(\mathbf{R}_\nu)$ ,  $\alpha(\mathbf{R}_\nu)$
- Dynamic simulation:  $\text{FT}[D(t)]$ ,  $\text{FT}[\alpha(\mathbf{R}_\nu)]$

# Calculation of infra-red, Raman vibrational spectra: Examples

Static calculation:  $\alpha(\mathbf{R}_\nu)$



Dynamic simulation:  $\text{FT}[\alpha(\mathbf{R}_\nu)]$



## Band structure, comparison with ARPES: $\epsilon_{E,k} \approx \epsilon_{ik}^{KS}$

Surface Science 643 (2016) 150–155

Contents lists available at ScienceDirect

Surface Science

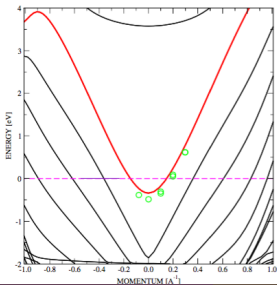
journal homepage: [www.elsevier.com/locate/susc](http://www.elsevier.com/locate/susc)



Electronic structure of reconstructed Au(111) studied with density functional theory

Ari Paavo Seitsonen

Institut für Chemie, Universität Zürich, Winterthurerstrasse 78B, CH-8057 Zürich, Switzerland  
 Département de Chimie, École Normale Supérieure, 24 rue Lhomond, F-75005, Paris, France



PHYSICAL REVIEW B 69, 241401(R) (2004)

### Spin structure of the Shockley surface state on Au(111)

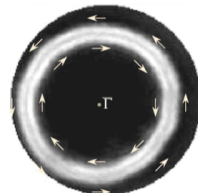
M. Hoesch,<sup>1,2</sup> M. Muntwiler,<sup>1</sup> V. N. Petrov,<sup>3</sup> M. Hengsberger,<sup>1</sup> L. Patthey,<sup>2</sup> M. Shi,<sup>2</sup>  
 M. Falub,<sup>2</sup> T. Greber,<sup>1</sup> and J. Osterwalder<sup>1</sup>

<sup>1</sup>Physik-Institut, Universität Zürich-Irchel, 8057 Zürich, Switzerland

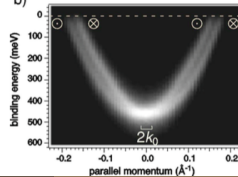
<sup>2</sup>Synchrotron Radiation Research Department, Paul Scherrer Institut, 5232 Villigen PSI, Switzerland

<sup>3</sup>St. Petersburg Technical University, 195251 St. Petersburg, Russia

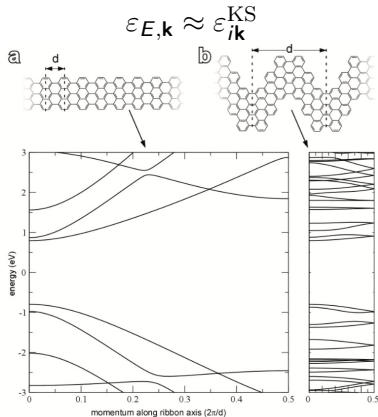
a)



b)



## Band structure

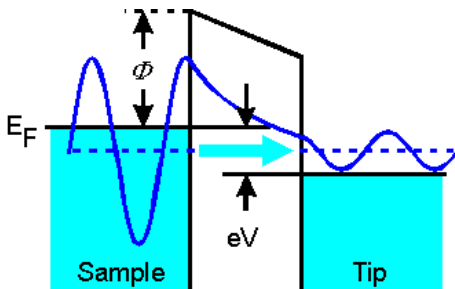


“Band gap problem”: LDA, GGA, ... underestimate band gaps



## Scanning tunnelling microscope: DFT modelling

- Tersoff-Hamann model:  $I(E) \propto \int_{\varepsilon}^{\varepsilon+eV_{\text{bias}}} |\psi_{\varepsilon}(\mathbf{r})|^2 \delta(\varepsilon - \varepsilon_E) d\varepsilon$
- Bardeen model: Electronic structure of tip included non-self-consistently

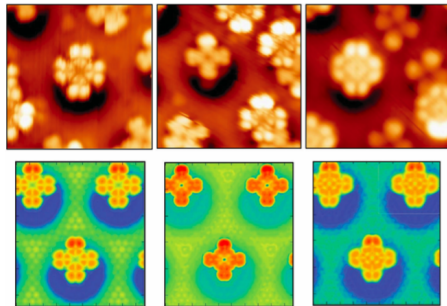
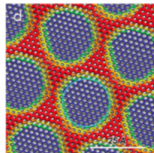
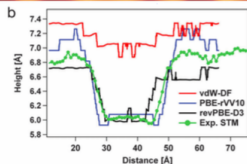
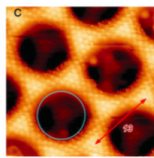
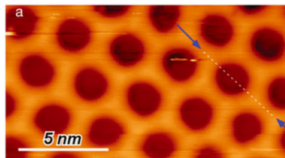


## Scanning tunnelling microscope: DFT modelling

Cite this: *Phys. Chem. Chem. Phys.*,  
2014, 16, 12374

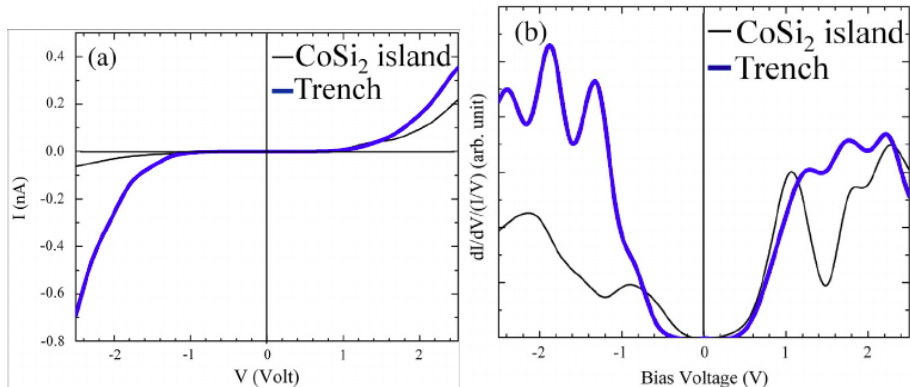
### Site-selective adsorption of phthalocyanine on h-BN/Rh(111) nanomesh†

Marcella Iannuzzi,<sup>a\*</sup> Fabien Tran,<sup>b</sup> Roland Widmer,<sup>c</sup> Thomas Diemel,<sup>c</sup>  
Kevin Radican,<sup>c</sup> Yun Ding,<sup>a</sup> Jürg Hutter<sup>a</sup> and Oliver Gröning<sup>c</sup>



## Scanning tunnelling spectroscopy: DFT modelling

- Signal  $\propto dI/dV$
- Tersoff-Hamann model:  $dI/dV(E) \approx |\psi_E(\mathbf{r})|^2 \delta(E - \epsilon_E) dE$

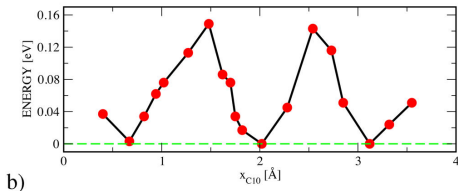
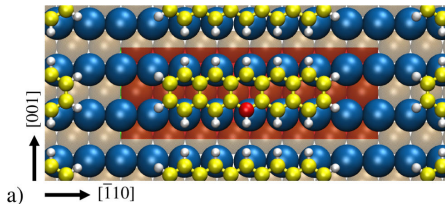


## Orbital overlap: Example pentacene/Cu(110)

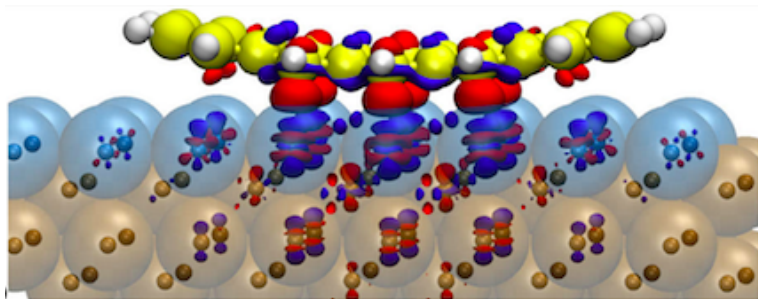
### Electronic Structure of an Organic/Metal Interface: Pentacene/ Cu(110)

Kathrin Müller,<sup>†,⊥</sup> Ari P. Seitsonen,<sup>‡</sup> Thomas Brugger,<sup>§</sup> James Westover,<sup>||</sup> Thomas Greber,<sup>§</sup>  
 Thomas Jung,<sup>†</sup> and Abdelkader Kara<sup>\*,||</sup>

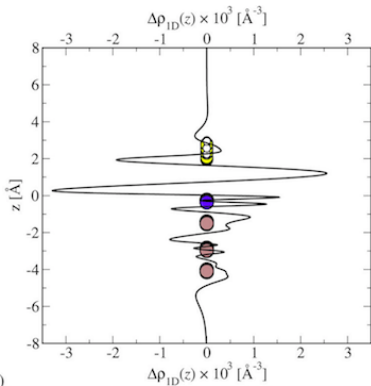
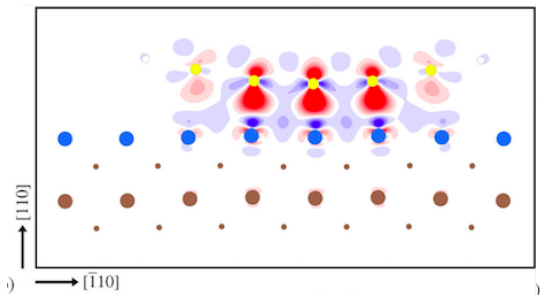
[dx.doi.org/10.1021/jp308058u](https://doi.org/10.1021/jp308058u) | *J. Phys. Chem. C* 2012, 116, 23465–23471



## Density difference: Example pentacene/Cu(110)

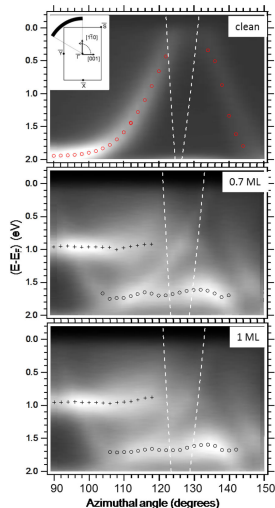
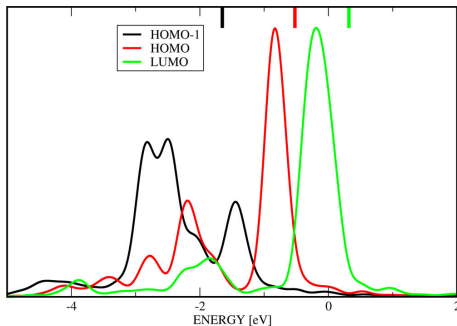


## Density difference: Example pentacene/Cu(110)



## Orbital overlap: Example pentacene/Cu(110)

$$O_{jk}(E) = \sum_{ik} w_k |\langle \phi_{jk} | \psi_{ik} \rangle|^2 \delta(E - E_{ik})$$



## DFT: Other observable quantities

- Sum-frequency generation
- NMR, EPR: Chemical shifts,  $g$  tensors, hyper-fine interactions, . . .
- XPS: Core-level binding energies



## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties
- 5 Technical issues
- 6 DFT: Limitations

## Example machines



## Summary

- 1 O+CO/RuO<sub>2</sub>(110)
- 2 Graphene nanoribbons
- 3 DFT: Molecular dynamics
- 4 DFT: Properties
- 5 Technical issues
- 6 DFT: Limitations

## DFT in practise — Limitations

DFT is exact ...

... yet

- Self-interaction
  - ▶ Ionisation, affinity energies; level alignment
  - ▶ Band gaps(?)
  - ▶ TD-DFT: Wrong asymptotic potential & charge transfer-excitations
- Overall accuracy: Reaction barriers *etc*

## DFT in practise — Limitations

### DFT computational load

- 10-10<sup>2</sup> (PC), 10<sup>3</sup>-10<sup>5-6</sup> (HPC) atoms
- 1 ns